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In the United States Patent and Trademark Office

Appn. Number: 10/705,439

Appn. Filed: 11/10/2003

Applicants: Bruce Bradford Thomas and Lester Ware Preston, III

Title: Collateral Coverage for Insurers and Advisors

GAU: 3626

Examiner: Glass, Russell S.

Trumbull, CT, August 13, 2007

5th Preliminary Amendment

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

Dear Examiner Glass:

Please accept the following Preliminary Amendment.